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Major 70 Team (2) PTO/SB/21 (6-98) Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Please type a plus sign (+) inside this box -> + Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/033,433 **Application Number TRANSMITTAL** 12/28/2001 Filing Date **FORM** William Jorgenson **First Named Inventor** 2167 (to be used for all correspondence after initial filing) Group Art Unit MAR 2 7 1002 **Examiner Name** 50135101-8 Technology Center 2100 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check ail that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Additional Enclosure(s) Change of Correspondence Extension of Time Request (please identify below): PTO Form No. SB08A; 5 Terminal Disclaimer references; return receipt Express Abandonment Request postcard. Small Entity Statement Information Disclosure Statement Request for Refund Certified Copy of Priority Document(s) Remarks MAY 0 6 2002 Response to Missing Parts/ Incomplete Application **GROUP 3600** Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Frank M. Gasparo Individual name Signature 3/14/2002 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Frank Mr. Gasparo

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William Jorgenson et al.

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Application No.:

10/033,433

MAY 0 6 2002

U.S. Filing Date:

December 28, 2001

GROUP 3600

Title:

TRANSACTIONAL SUPPLY CHAIN SYSTEM AND METHOD

Group Art Unit:

2167

Examiner:

Not Yet Assigned

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Commissioner for Patents Washington, D.C. 20231

MAR 2 7 2002

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for the Applicants hereby bring the following references thought to be relevant to the examination of the above-identified application, which are listed on the attached modified PTO Form No. SB08A (substitute for form 1149A/PTO) to the attention of the Examiner. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

No fee is deemed necessary with the filing of these documents. If a fee is deemed necessary, we authorize the Commissioner of Patents to charge Deposit Account No. 02-0393.

Dated: March 14, 2002

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Commissioner for Patents,

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Frank M. Gasparo

[NYC] 366726.1

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Compl te if Known				
Application Number	10/033,433			
Filing Date	12/28/2001			
First Named Inventor	William Jorgenson			
Art Unit	2167			
Examiner Name				
Attorney Docket Number	50135101-8			

		0.00	U.S. PATI	ENT DOCUMENTS	
Examiner Initials		Document Number Number - Kind Code ² (if known		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 5,953,707	09-14-1999	Huang et al.	
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	3	us- 6,055,227	04-25-2000	Lennert et al.	- Participate of the control of the
	4	us- 6,249,714 Bi	06-19-2001	Hocaoglu et al.	
	5	∪&001/0011437 AI	08-09-2001	Shortridge et al.	RECEIVED
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. ¹	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6		
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For Japanese patent document, by the two-letter code (WIPO Standard ST.3). 

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